

Emittent: Kuros Biosciences AG - ISIN CH0325814116

Aktienkapital

8,602,929

Stand: 7-Sep-2018

| Papierart | Anzahl Stimmrechte | Ausübungspreis | Verfalldatum | Stimmrechtsanteil % | Ausübungsart | Bezugsverhältnis |
|-----------------------|-----------------------|----------------|--------------|---------------------|---------------|------------------|
| Call Option / Warrant | 272,427 | CHF 2.00 | 30-Nov-2025 | 3.17% | Realerfüllung | 1:1 |
| Call Option / Warrant | 2,500 | CHF 8.20 | 3-Mar-2023 | 0.03% | Realerfüllung | 1:1 |
| Call Option / Warrant | 87,500 | CHF 9.26 | 16-Jul-2023 | 1.02% | Realerfüllung | 1:1 |
| Call Option / Warrant | 20,000 | CHF 10.20 | 14-Jun-2023 | 0.23% | Realerfüllung | 1:1 |
| Call Option / Warrant | 90,768 | CHF 12.10 | 1-Feb-2023 | 1.06% | Realerfüllung | 1:1 |
| Call Option / Warrant | 69,544 | CHF 18.30 | 22-May-2022 | 0.81% | Realerfüllung | 1:1 |
| Call Option / Warrant | 2,000 | CHF 24.00 | 16-Jun-2021 | 0.02% | Realerfüllung | 1:1 |
| Call Option / Warrant | 108,200 | CHF 24.00 | 21-Apr-2021 | 1.26% | Realerfüllung | 1:1 |
| Call Option / Warrant | 20,400 | CHF 25.00 | 11-Jul-2020 | 0.24% | Realerfüllung | 1:1 |
| Call Option / Warrant | 15,000 | CHF 26.00 | 15-Feb-2021 | 0.17% | Realerfüllung | 1:1 |
| Call Option / Warrant | 20,000 | CHF 27.75 | 21-Jul-2021 | 0.23% | Realerfüllung | 1:1 |
| Call Option / Warrant | 57,000 | CHF 33.00 | 16-Jun-2021 | 0.66% | Realerfüllung | 1:1 |
| Call Option / Warrant | 29,000 | CHF 42.00 | 25-Feb-2021 | 0.34% | Realerfüllung | 1:1 |
| Call Option / Warrant | 258 | CHF 45.00 | 1-Oct-2018 | 0.00% | Realerfüllung | 1:1 |
| Call Option / Warrant | 596 | CHF 45.00 | 6-Oct-2018 | 0.01% | Realerfüllung | 1:1 |
| Call Option / Warrant | 490 | CHF 45.00 | 1-Nov-2018 | 0.01% | Realerfüllung | 1:1 |
| Call Option / Warrant | 5,728 | CHF 45.00 | 1-Jan-2019 | 0.07% | Realerfüllung | 1:1 |
| Call Option / Warrant | 1,010 | CHF 45.00 | 1-Feb-2019 | 0.01% | Realerfüllung | 1:1 |
| Call Option / Warrant | 605 | CHF 45.00 | 4-Feb-2019 | 0.01% | Realerfüllung | 1:1 |
| Call Option / Warrant | 12,641 | CHF 45.00 | 1-Jan-2021 | 0.15% | Realerfüllung | 1:1 |
| Call Option / Warrant | 280 | CHF 45.00 | 1-Mar-2021 | 0.00% | Realerfüllung | 1:1 |
| Call Option / Warrant | 680 | CHF 45.00 | 1-Apr-2021 | 0.01% | Realerfüllung | 1:1 |
| Call Option / Warrant | 177 | CHF 45.00 | 1-May-2021 | 0.00% | Realerfüllung | 1:1 |
| Call Option / Warrant | 1,206 | CHF 45.00 | 1-Sep-2021 | 0.01% | Realerfüllung | 1:1 |
| Call Option / Warrant | 3,788 | CHF 52.00 | 1-Jan-2019 | 0.04% | Realerfüllung | 1:1 |
| Call Option / Warrant | 1,181 | CHF 52.00 | 20-Apr-2019 | 0.01% | Realerfüllung | 1:1 |

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| Call Option / Warrant | 1,181 | CHF | 52.00 | 11-May-2019 | 0.01% | Realerfüllung | 1:1 |
| Call Option / Warrant | 251 | CHF | 52.00 | 1-Jun-2019 | 0.00% | Realerfüllung | 1:1 |
| Call Option / Warrant | 70 | CHF | 52.00 | 1-Jul-2019 | 0.00% | Realerfüllung | 1:1 |
| Call Option / Warrant | 1,690 | CHF | 52.00 | 1-Sep-2019 | 0.02% | Realerfüllung | 1:1 |
| Call Option / Warrant | 199 | CHF | 52.00 | 1-Nov-2019 | 0.00% | Realerfüllung | 1:1 |
| Call Option / Warrant | 9,156 | CHF | 52.00 | 1-Jan-2022 | 0.11% | Realerfüllung | 1:1 |
| Call Option / Warrant | 188 | CHF | 52.00 | 1-Mar-2022 | 0.00% | Realerfüllung | 1:1 |
| Call Option / Warrant | 752 | CHF | 52.00 | 1-Apr-2022 | 0.01% | Realerfüllung | 1:1 |
| Call Option / Warrant | 76 | CHF | 52.00 | 1-May-2022 | 0.00% | Realerfüllung | 1:1 |
| Call Option / Warrant | 921 | CHF | 52.00 | 1-Jul-2022 | 0.01% | Realerfüllung | 1:1 |
| Call Option / Warrant | 11,764 | CHF | 56.00 | 1-Jan-2020 | 0.14% | Realerfüllung | 1:1 |
| Call Option / Warrant | 650 | CHF | 56.00 | 1-Feb-2020 | 0.01% | Realerfüllung | 1:1 |
| Call Option / Warrant | 167 | CHF | 56.00 | 1-Mar-2020 | 0.00% | Realerfüllung | 1:1 |
| Call Option / Warrant | 454 | CHF | 56.00 | 1-Apr-2020 | 0.01% | Realerfüllung | 1:1 |
| Call Option / Warrant | 523 | CHF | 56.00 | 1-May-2020 | 0.01% | Realerfüllung | 1:1 |
| Call Option / Warrant | 21,862 | CHF | 56.00 | 1-Jun-2020 | 0.25% | Realerfüllung | 1:1 |
| Call Option / Warrant | 845 | CHF | 56.00 | 1-Jul-2020 | 0.01% | Realerfüllung | 1:1 |
| Call Option / Warrant | 1,109 | CHF | 56.00 | 1-Aug-2020 | 0.01% | Realerfüllung | 1:1 |
| Call Option / Warrant | 1,206 | CHF | 56.00 | 1-Sep-2020 | 0.01% | Realerfüllung | 1:1 |
| Call Option / Warrant | 1,206 | CHF | 56.00 | 15-Sep-2020 | 0.01% | Realerfüllung | 1:1 |
| Call Option / Warrant | 750 | CHF | 56.00 | 1-Nov-2020 | 0.01% | Realerfüllung | 1:1 |
| Call Option / Warrant | 51 | CHF | 56.00 | 1-Dec-2020 | 0.00% | Realerfüllung | 1:1 |
| Call Option / Warrant | 54,000 | CHF | 60.00 | 1-Jul-2020 | 0.63% | Realerfüllung | 1:1 |
| Call Option / Warrant | 9,600 | CHF | 254.00 | 8-Oct-2018 | 0.11% | Realerfüllung | 1:1 |
| Call Option / Warrant | 6,454 | CHF | 257.00 | 28-Sep-2018 | 0.08% | Realerfüllung | 1:1 |
| Call Option / Warrant | 200 | CHF | 305.00 | 20-Dec-2019 | 0.00% | Realerfüllung | 1:1 |
| Call Option / Warrant | 14,642 | CHF | 349.00 | 18-Nov-2019 | 0.17% | Realerfüllung | 1:1 |

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| Call Option / Warrant | 5,823 | CHF | 363.00 | 4-Mar-2019 | 0.07% | Realerfüllung | 1:1 |
| Call Option / Warrant | 2,000 | CHF | 384.00 | 7-Mar-2019 | 0.02% | Realerfüllung | 1:1 |
| Call Option / Warrant | 3,800 | CHF | 385.00 | 11-Mar-2019 | 0.04% | Realerfüllung | 1:1 |
| Call Option / Warrant | 600 | CHF | 404.00 | 6-May-2019 | 0.01% | Realerfüllung | 1:1 |
| Call Option / Warrant | 2,400 | CHF | 409.00 | 2-May-2019 | 0.03% | Realerfüllung | 1:1 |
| GRANDTOTAL | 977,569 | | | | 11.36% | | |